

# Cavities determine the pressure unfolding of proteins

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It has been known for nearly 100 years that pressure unfolds proteins, yet the physical basis of this effect is not understood. Unfolding by pressure implies that the molar volume of the unfolded state of a protein is smaller than that of the folded state. This decrease in volume has been proposed to arise from differences between the density of bulk water and water associated with the protein, from pressure-dependent changes in the structure of bulk water, from the loss of internal cavities in the folded states of proteins, or from some combination of these three factors. Here, using 10 cavity-containing variants of staphylococcal nuclease, we demonstrate that pressure unfolds proteins primarily as a result of cavities that are present in the folded state and absent in the unfolded one. High-pressure NMR spectroscopy and simulations constrained by the NMR data were used to describe structural and energetic details of the folding landscape of staphylococcal nuclease that are usually inaccessible with existing experimental approaches using harsher denaturants. Besides solving a 100-year-old conundrum concerning the detailed structural origins of pressure unfolding of proteins, these studies illustrate the promise of pressure perturbation as a unique tool for examining the roles of packing, conformational fluctuations, and water penetration as determinants of solution properties of proteins, and for detecting folding intermediates and other structural details of protein-folding landscapes that are invisible to standard experimental approaches.

energy landscape | fluorescence | volume change

The first observation that pressure unfolds proteins was made in 1914 by Bridgman (1). Despite numerous studies since then, the physical basis of the pressure-induced unfolding of proteins has not been explained. This difference in volume underlying pressure effects has been rationalized previously in terms of (i) increases in solvent density concomitant with solvation of exposed surfaces upon unfolding (2), (ii) modifications in the structure of bulk water leading to weakened hydrophobic interactions (3), and (iii) cavities in the folded state that are not present in the unfolded state (4–7). The goal of this study was to examine the structural origins of pressure unfolding of proteins. Twenty-five years ago Walter Kauzmann stressed the importance of understanding pressure effects (8): “Enthalpy and volume are equally fundamental properties of the (protein) unfolding process, and no model can be considered acceptable unless it accounts for the entire thermodynamic behavior.” He also noted important discrepancies between the volumetric properties of hydrophobic interactions and the pressure unfolding of proteins.

To date, no conclusive explanation for the origins of pressure unfolding of proteins has been proposed. In the present work, 10 cavity-containing variants of staphylococcal nuclease (SNase) were engineered by substitution of internal core residues to Ala. Crystal structures of the variants were obtained to verify the existence of the engineered cavities. Equilibrium unfolding studies using pressure as a denaturant were performed with the variants and with the parent protein, using tryptophan (Trp) fluorescence or the intensity of cross-peaks from 100 backbone amide detected in <sup>1</sup>H-<sup>15</sup>N heteronuclear single quantum coherence (HSQC)

spectra measured with NMR spectroscopy. The role of cavities has been studied in the past (4–6) but not as systematically nor at the level of detail afforded by NMR spectroscopy, as done here.

Previous studies have revealed a lack of correlation between protein size and volume change, demonstrating that the exposure of surface area and the accompanying changes in solvent density are not major determinants of the magnitude of pressure effects (9). In contrast, the present studies demonstrate rigorously and systematically that increasing the amount of internal void volume in the folded states of proteins leads to significant increases in the magnitude of the volume change of unfolding. Hence we conclude that internal cavities are the primary determinants of pressure unfolding of proteins. In addition, the pressure unfolding reaction monitored with NMR spectroscopy revealed significant deviation from simple two-state behavior; this deviation was different from variant to variant. Using the NMR spectroscopy data to constrain Go-model calculations allowed characterization of the structure and energetics of the folding landscape of this protein and identification of a major folding intermediate. These studies demonstrate that because pressure acts primarily to eliminate internal cavities present in the folded state, its effects are much more local and subtle than those of temperature or chemical denaturants, which act globally and in proportion to the increase in surface area exposed upon unfolding. Hence, pressure perturbation allows observation of intermediates that are normally suppressed with harsher perturbations such as high temperature or chemical denaturants. This study illustrates the potential utility of pressure perturbation coupled with NMR spectroscopy to examine with unprecedented detail many important structural aspects of folding landscapes of proteins that are inaccessible with most other experimental approaches.

## Results

To increase the internal void volume of a protein (Fig. 1, *Top, Left*) (10, 11), 10 variants of a very stable variant of SNase referred to as Δ + PHS (11.8 kcal/mole at 298 K (10), compared to 5.4 kcal/mol for WT SNase), were engineered with substitutions of internal positions to Ala to create additional internal cavities.

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The authors declare no conflict of interest.

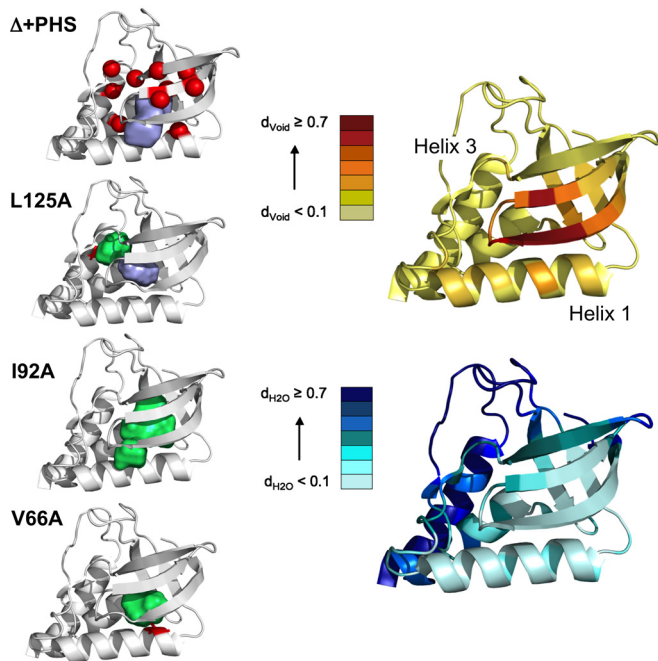
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Data deposition: Structure factors have been deposited in the Protein Data Bank, [www.pdb.org](http://www.pdb.org) (V23A, PDB ID code 3PMF; L25A, PDB ID code 3OSO; F34A, PDB ID code 3MVV; L36A, PDB ID code 3NP8; L38A, PDB ID code 3MHB; T62A, PDB ID codes 3MXP and 3R3O; V66A, PDB ID code 3NQT; V74A, PDB ID code 3NK9; I92A, PDB ID code 3MEH; L103A, PDB ID code 3MZ5; L125A, PDB ID code 3NXW).

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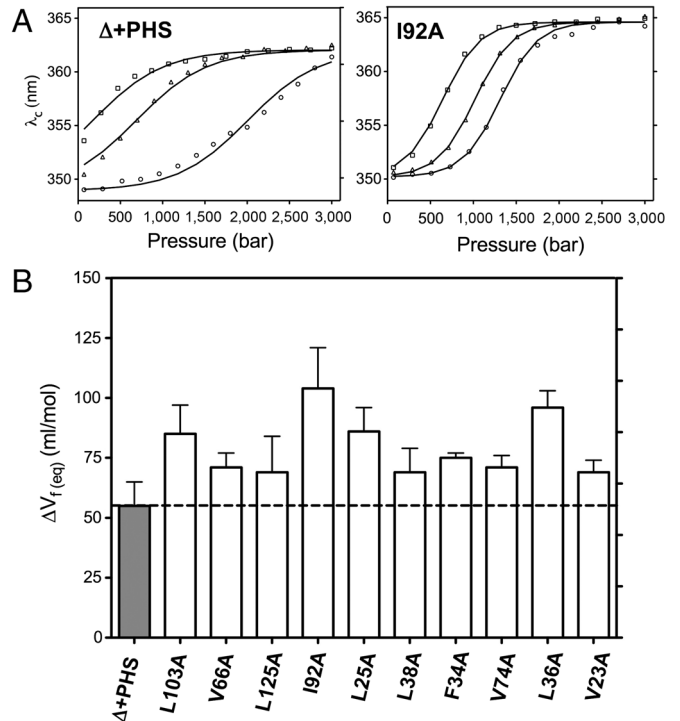


**Fig. 1.** Structures of  $\Delta + \text{PHS}$  SNase and cavity-containing variants. *Left Panel*, from *Top to Bottom*: Structure of  $\Delta + \text{PHS}$  SNase (3BDC) with the  $C^\alpha$  positions of the 10 cavity-containing variants indicated with red spheres and with the surface representation of the central cavity in purple. Cavity volume was calculated using a 1.1 Å sphere and McVol (11). Structures of the cavity mutants L125A (3NXW), I92A (3MEH) and V66A (3NQT) with the engineered cavities in green and the mutated residue in red. *Right Panel*: Estimation of the void density (*Top*) and hydration density (*Bottom*) for each  $C^\alpha$  position of the  $\Delta + \text{PHS}$  reference protein. See *SI Materials and Methods in SI Appendix* and (9) for the details concerning calculation of void and hydration density. Briefly, the 1,000 configurations resulting from a 10 ns all-atom MD simulation in explicit solvent were submitted to Monte Carlo point oversampling. All points that fell within the structure and not on an atom of solvent or the protein were counted for void density. Water density was calculated as the number of oxygen atoms of water molecules within 5 Å of each  $C^\alpha$  carbon, normalized to the largest number found for a  $C^\alpha$  carbon.

**Crystal Structures.** The crystal structures of all variants, solved at 1 atm, showed that the substitutions led to the creation of internal cavities in the folded state. The structures were very similar among themselves and to that of  $\Delta + \text{PHS}$  (Fig. 1 and Fig. S1 and Table S1 in *SI Appendix*), the main difference being either the enlargement of the naturally occurring microcavity or the introduction of a new cavity. No internal water molecules were observed in any of the cavities; not even a trace of electron density was found.

**Molecular Dynamics Simulations.** Because cavities can be hydrated transiently or filled through dynamic side-chain reorganization, the persistence of the naturally occurring cavity in the  $\Delta + \text{PHS}$  reference protein was investigated using molecular dynamics (MD) simulations in explicit solvent (*SI Materials and Methods in SI Appendix*). Void and hydration density at each  $C^\alpha$  (Fig. 1, *Right*), defined as the number of Monte Carlo points (normalized to the maximum for all  $C^\alpha$  atoms) that could be inserted within 5 Å of its position and the number of water oxygen atoms (normalized to the maximum number) within 5 Å, respectively, support the notion that even the naturally occurring microcavity observed in the structure of the wild type protein is present and dehydrated, even after dynamic reorganization and transient hydration are taken into consideration.

**Equilibrium Unfolding Monitored by Trp Fluorescence.** Pressure unfolding monitored by the fluorescence of Trp-140 (12) (Fig. 2A



**Fig. 2.** Pressure unfolding monitored with Trp fluorescence. (A) High-pressure fluorescence average emission wavelength profile for (*Left Panel*)  $\Delta + \text{PHS}$  variant at 2.0 M (circle), 2.3 M (triangle) and 2.6 M (square) GuHCl; and (*Right Panel*) I92A cavity mutant at 0.8 M (circle), 1.0 M (triangle) and 1.2 M (square) GuHCl. (B) Folding volume change,  $\Delta V_f (= -\Delta V_u)$  values obtained from analysis of the high-pressure fluorescence unfolding profiles for  $\Delta + \text{PHS}$  and 10 cavity-containing variants assuming a two-state model. The dashed line indicates the value for the  $\Delta + \text{PHS}$  protein used as reference. Measurements were performed in the presence of guanidinium chloride (GuHCl) to ensure complete unfolding in the pressure range of the instrumentation used (<3 kbar).

and Fig. S2 in *SI Appendix*) revealed that the variants with the engineered cavities all exhibited significantly larger values for the apparent volume change upon unfolding  $\Delta V_u (= -\Delta V_f)$  than did the  $\Delta + \text{PHS}$  protein (Fig. 2A and B and Table 1). The mid-points of the unfolding profiles shifted to lower pressures with increasing GuHCl, but the slopes were unaffected, indicating that the denaturant destabilized the protein without any effect on  $\Delta V_u$ .

**Table 1.  $\Delta V_u$  values obtained from high-pressure unfolding experiments**

	$\Delta V_u$ Fluorescence (ml/mol)	$\Delta V_u$ NMR (ml/mol)*	$\Delta V_u$ Trp NH (ml/mol) <sup>†</sup>
$\Delta + \text{PHS}$	$-55 \pm 10$	$-69 \pm 9$	$-80 \pm 8$
I92A	$-104 \pm 17$	$-145 \pm 15$	$-148 \pm 21$
L125A	$-69 \pm 15$	$-115 \pm 5$	$-108 \pm 3$
V66A	$-71 \pm 6$	$-106 \pm 14$	$-104 \pm 10$
L103A	$-85 \pm 9$	$-103 \pm 9$	$-106 \pm 4$
V23A	$-69 \pm 5$		
F34A	$-75 \pm 2$		
L36A	$-96 \pm 7$		
L38A	$-69 \pm 10$		
L25A	$-86 \pm 10$		
V74A	$-71 \pm 5$		

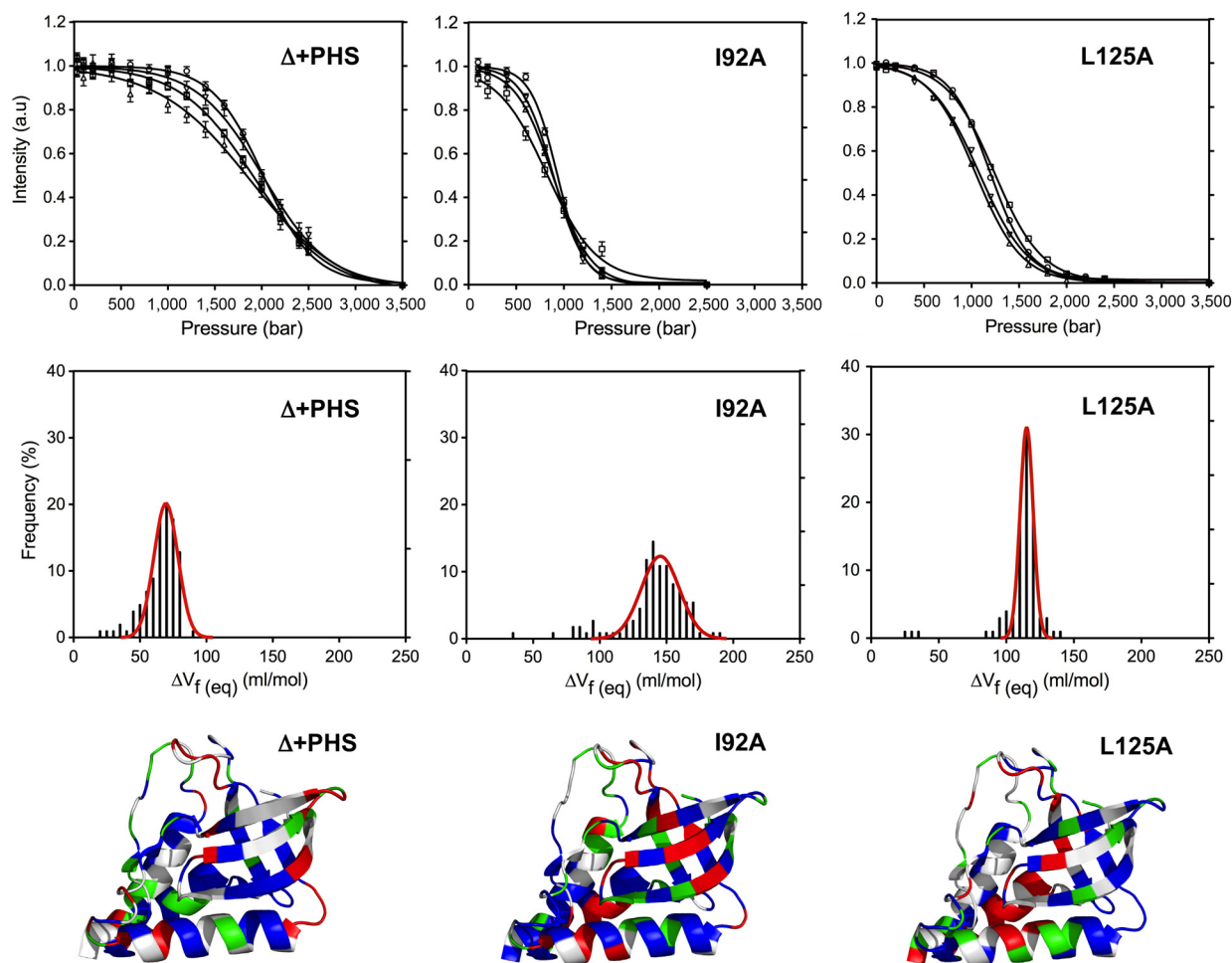
\*For NMR data, the mean value and the standard deviation are extracted from a Gaussian fit of the  $\Delta V_u$  values distribution obtained from fits of the loss of HSQC peak intensity vs. pressure for the peaks that could be followed throughout the unfolding transition.

<sup>†</sup> $\Delta V_u$  values for the trp NH correspond to those obtained for fits of the HSQC peak intensity of the amide NH of the tryptophan residues, for direct comparison with that obtained from the fluorescence data.

**Equilibrium Unfolding Monitored by NMR Spectroscopy.** High-pressure NMR  $^1\text{H}$ - $^{15}\text{N}$  HSQC spectra acquired on the  $\Delta + \text{PHS}$  protein and on four of the cavity variants (L125A, V66A, L103A, and I92A) yielded  $\Delta V_u$  values for more than 100 detectable amide groups (Fig. 3 and Table 1 and Figs. S3 and S4 in SI Appendix). The average  $\Delta V_u$  value for each cavity-containing variant was significantly larger in magnitude than that of  $\Delta + \text{PHS}$  reference protein. Note that the substitution of Leu or Ile with Ala (deletion of the same amount of van der Waals volume) resulted in effects on the magnitude of  $\Delta V_u$  that were different for different variants (compare L103A or L125A to I92A in Table S2 in SI Appendix). Cavities near the surface of the protein contribute less to  $\Delta V_u$  than cavities that are buried deeply, consistent with the results of pressure perturbation calorimetry (PPC) (Table S2 in SI Appendix) and with MD simulations showing that the central  $\beta$ -strands and helix-1 constitute the region of the protein least accessible to solvent (Fig. 1). We hypothesize that cavities introduced closer to the protein-water interface are likely to contribute less to  $\Delta V_u$  because solvent is less likely to be excluded from these regions owing to their close proximity to bulk water. Although they follow the same general trend, in all variants the average value of  $\Delta V_u$  obtained with NMR spectroscopy, even for the backbone and the aromatic NH resonances of the Trp itself, was larger than the value obtained from Trp fluorescence experiments (Table 1). This discrepancy can be explained by invoking

pressure-induced water penetration into the region near the Trp side chain (13) in the folded state at these lower pressures, suggesting ways in which pressure can affect the properties of the folded state by promoting water penetration as suggested by previous studies with T4 lysozyme (14).

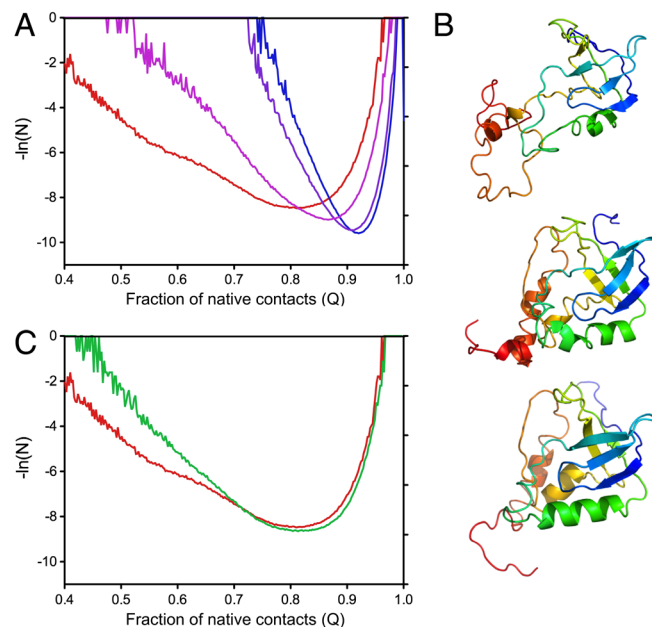
**Structural Origins of Deviations from Two-State Unfolding.** The width and asymmetry in the distributions of  $\Delta V_u$  values (Fig. 3, Middle Row) revealed significant deviations from simple two-state behavior, which were unique for each variant (Fig. 3, Bottom, and Fig. S5 in SI Appendix) and independent of side-chain polarity (Fig. S6 in SI Appendix). Lower apparent values for  $\Delta V_f (= -\Delta V_u)$  in the  $\Delta + \text{PHS}$  protein measured throughout the C-terminal loop, in helix-3, and in the region where this helix packs against the rest of the protein, indicate that these regions of the protein are disrupted by pressure more readily than the rest of the protein. Local destabilization by the introduction of a cavity is reflected in the very small values of  $\Delta V_f$  observed for residues in  $\beta$ -strand-2 near the site of the V66A substitution (Fig. S5 in SI Appendix). Very large values for the apparent  $\Delta V_f$  near the artificial cavity opposite to the site of the I92A substitution and in helix-2 between the two subdomains near the L125A substitution are consistent with the notion that residues in these deeply buried regions only sample disrupted conformations when the protein is fully unfolded.



**Fig. 3.** Pressure unfolding monitored with NMR spectroscopy. (Top): Examples of HSQC cross-peak intensity profiles for four representative residues of the  $\Delta + \text{PHS}$  variant at 1.5 M GuHCl (Left), I92A at 0.85 M GuHCl (Middle), and L125A at 0.85 M GuHCl (Right). (Middle Panel): Distributions of the  $\Delta V_f (= -\Delta V_u)$  values obtained from high-pressure NMR spectroscopy experiments for  $\Delta + \text{PHS}$  (Left), I92A (Middle), and L125A (Right). (Lower Panel): Structural mapping of the  $\Delta V_f$  values obtained from high-pressure NMR experiments for  $\Delta + \text{PHS}$  (Left), I92A (Middle), and L125A (Right). Residues of the first quartile (the 25% lowest values) are in green; residues of the third quartile (25% highest values) are in red; and residues lying between the first and the third quartile (50% of the values) are in blue.







**Fig. 5.** Go-model calculations. (A) Pseudo free-energy profiles calculated from structure and NMR-based Go-model simulations of the  $\Delta + \text{PHS}$  variant at 1 bar (blue), 400 bar (purple), 600 bar (pink), and 800 bar (red). (B) Representative conformations extracted from simulations with  $\Delta + \text{PHS}$  SNase at 800 bar with (from *Top* to *Bottom*) fraction of native contacts,  $Q$ ,  $Q = 0.55$ ,  $Q = 0.83$  and  $Q = 0.92$ . (C) Comparison of the pseudo free-energy profiles obtained from simulations of  $\Delta + \text{PHS}$  at 800 bar (red) and L125A at 700 bar (green). Pressures shown are below the unfolding midpoint.

or for unfolding volume changes reported in the literature for different proteins reported at or near the same temperature (9). However, in rare cases where buried and dehydrated charged or ionizable residues become exposed to solvent upon unfolding, electrostriction of the charge can be significant. We note, as well, that, compared to real globular proteins, a small contribution from hydration density differences may be more apparent in model systems such as trp cage (19) or peptides (2), which present little or no hydrophobic core.

**(ii) Structure of bulk water.** If pressure unfolding were driven by structural changes of bulk water at high pressure,  $\Delta V_u$  would not be an intrinsic property of the protein, but rather would result from a pressure dependence of the properties of the solvent. A number of observations argue against this notion. First, it is well understood that large changes in the structure of water occur at pressures above 10 kbar and are unlikely to contribute to unfolding as observed here with midpoints at pressures as low as 500 bar. Second, in this and in previous studies (20, 21) we find that pressure unfolding profiles shift to higher or lower pressures with changes in solution composition, but the volume changes remain constant, regardless of the pressure range over which the unfolding occurs. Moreover, measurements with PPC (22, 23), which involve pressure changes of only 5 bar, reveal volume changes upon unfolding consistent with those measured using spectroscopic approaches.

**(iii) Cavities in the folded state.** In contrast to previous more anecdotal reports (5, 6), the present study shows unambiguously that the volume of cavities in the folded state, which are absent in the unfolded state, represents a major contribution to the difference in molar volume upon protein unfolding. Previous volume calculations from molecular dynamics (MD) simulations on pressure-denatured states of wild type SNase obtained by water insertion methods (24) are in reasonable agreement with this experiment

(12). Although the predominant role of cavities is clear from the present work, further studies will be necessary to develop a predictive, quantitative model of volume changes based on crystal structures. This will require knowledge of the state of hydration of the cavities in the folded state and of the dependence of the cavity volume on thermal fluctuations. In addition, the value of the volume change of unfolding is a strong function of temperature, both in magnitude and even in sign (25). Positive values of  $\Delta V_u$  have been measured at high temperature, resulting from a large difference in the coefficient of thermal expansion of the folded and unfolded states (23, 26, 27). Improved understanding of these effects will be required before successful structure-based predictions of pressure effects on proteins are possible. These uncertainties currently preclude the prediction of the magnitude of pressure effects based on the internal void volume apparent in crystal structures of proteins.

**Folding Landscape of SNase:** A second important contribution from this study concerns the demonstration of the unique utility of pressure unfolding monitored with NMR spectroscopy as an invaluable tool for quantitative examination of structural details of protein-folding landscapes. Previous studies on the effects of pressure on folded states of several proteins monitored through native state resonances in HSQC spectra (28), hydrogen exchange (29), crystallographic and computational studies (14), and MD and coarse-grained simulations (30–32) revealed pressure-induced structural reorganization and increased water penetration in the folded state. Similar observations are reported here for SNase. Beyond such observations, by focusing on the pressure unfolding reaction proper, we have characterized, with unprecedented structural and energetic resolution, the pressure unfolding landscape of SNase and its variants. Deletion mutagenesis (33), H/D exchange (34, 35), and mechanical unfolding experiments (36) all at atmospheric pressure have provided a consistent picture of the modular nature of SNase with two subdomains, an N-terminal  $\beta$ -barrel core domain that includes helix 1, and a C-terminal domain consisting primarily of helix 3, with helix 2 acting as an interface between the two subdomains and the C-terminal loop Leu-137 to Ser-141 locking the protein into its correct tertiary structure through long range contacts (37, 38). Besides the typical *cis/trans* proline isomerization observed within the unfolded states on a slow time scale, multiphasic kinetics have been detected in a stopped-flow study of a proline-free variant of SNase (39), suggesting the accumulation of on-pathway intermediate states. Both rapid mixing fluorescence experiments (40, 41) and pulsed H/D NMR experiments (42) indicated the early formation of the  $\beta$ -domain. The present observations are fully consistent with the known modular nature of SNase.

## Conclusions

This study provides the answer to a nearly century-old fundamental question in protein chemistry concerning the origins of pressure effects on proteins. Specifically, the data presented here, taken together with our previous work showing little contribution from solvation density differences (9), demonstrate that pressure unfolds proteins because of cavities that are present in the folded state and which are eliminated in the unfolded state. Pressure-driven unfolding originates with specific, local and unique properties of the folded state. In this sense it is very different from unfolding by temperature or chemical denaturants (18, 43, 44), which act globally and depend on exposed surface area in the unfolded state. Owing to the central role of cavities and to the inherently anisotropic distribution of void volume in the folded state, pressure perturbation coupled with NMR spectroscopy and novel computational approaches can enable unprecedented exploration of structural details of protein-folding landscapes that are usually obscured in temperature or chemical denaturation.

## Materials and Methods

All experimental studies were performed on the highly stable  $\Delta +$  PHS form of S<sub>Nase</sub> (45, 46) and on variants thereof (*SI Materials and Methods in SI Appendix*). Details of structural studies are reported in *SI Materials and Methods in SI Appendix*. High-pressure fluorescence experiments were carried out as previously described (9) and curves of average emission wavelength vs. pressure were fitted globally to a two-state unfolding equilibrium as function of pressure for values of  $\Delta G_u^0$  and  $\Delta V_u^0$  (*SI Materials and Methods in SI Appendix*). High-pressure HSQC data were acquired using a ceramic high-pressure cell system (Daedalus Innovations) (*SI Materials and Methods in SI Appendix*). The fitting procedure was comparable to that used for the high-pressure fluorescence experiments except for the correction for quantum yield, which was not applied. All-atom molecular dynamics simulations in explicit solvent were run as previously described (9) (*SI*

*Materials and Methods in SI Appendix*). Cavity location in the folded states ensemble structures from the MD simulations, as well as the void and water density, were estimated using the McVol algorithm (11) as described in *SI Materials and Methods in SI Appendix*. Go-model simulations constrained by the NMR data are also described in the *SI Materials and Methods in SI Appendix*.

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